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Substitute for form 1449A/PTO		NOV 03 2004		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	1	of	2	First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

11

FOREIGN PATENT DOCUMENTS

Examiner Signature	Gilbert	Date Considered	9/16/02
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
(use as many sheets as necessary)					
Sheet	2	of	2	Application Number	10/715/150
				Filing Date	November 17, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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Will U.S. Standard Form 1414, if possible. Applicant is to place a check mark here if English language translation is attached.
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				Art Unit	Unassigned
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				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
200		2,826,193		03/11/58	Vineberg
		3,464,322		09/02/69	Pequignot
		3,513,836		05/26/70	Sausse
		3,587,567		06/28/71	Schiff
		3,613,672		10/19/71	Schiff
		3,966,401		06/29/76	Hancock et al.
		3,983,863		10/05/76	Janke et al.
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		Office ³	Number ⁴		
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		GB	2 115 287 A	09/07/83	Sharma
		JP	60-203250	10/14/85	Unknown
		GB	2 209 678 A	05/24/89	Evans
		DE	3831 540 A1	04/06/89	Evans
		JP	1-145066	06/07/89	Unknown
		JP	1-271829	10/30/89	Kogyo
		EPO	0 370 931 A1	05/30/90	Perrotin

Examiner Signature	Gilbert	Date Considered	9/16/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
		4,628,937		12/16/86	Hess et al.	
		4,630,597		12/23/86	Kantrowitz et al.	
		4,665,906		05/19/87	Jervis	
		4,690,134		09/01/87	Snyders	
		4,697,703		10/06/87	Will	
		4,750,619		06/14/88	Cohen et al.	
		4,821,723		04/18/89	Baker, Jr. et al.	
		4,827,932		05/09/89	Ideker et al.	
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		4,838,288		06/13/89	Wright et al.	
		4,840,626		06/20/89	Linsky et al.	
		4,863,016		09/05/89	Fong et al.	
		4,878,890		11/07/89	Bilweis	
		4,936,857		06/26/90	Kulik	
		4,957,477		09/18/90	Lundbäck	
		4,960,424		10/02/90	Grooters	
		4,973,300		11/27/90	Wright	
		4,976,730		12/11/90	Kwan-Gett	
		5,031,762		07/16/91	Heacox	
		5,057,117		10/15/91	Atweh	
		5,067,957		11/26/91	Jervis	
		5,087,243		02/11/92	Avitall	

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Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				
		FR	2 645 739	10/19/90	Mentzer et al.		
		PCT	WO 91/19465	12/26/91	Frater		
		SU	1734767 A1	05/23/92	Popov et al.		
		EP	0 280 564 B1	06/16/93	Intermedics, Inc.		
		DE	38 31 540 C2	06/24/93	Evans		
		PCT	WO 95/06447	03/09/95	W.L. Gore & Associates		
		PCT	WO 96/04852	02/22/96	Northrup		
		DE	295 17 393 U1	03/14/96	Hohmann et al.		
		EP	0 583 012 B1	07/10/96	Heilman et al.		

Examiner Signature	6/16/06	Date Considered	9/16/06
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		Number	Kind Code ² (if known)			
		5,098,369		03/24/92	Heilman et al.	
		5,106,386		04/21/92	Isner et al.	
		5,119,804		06/09/92	Anstadt	
		5,131,905		07/21/92	Grooters	
		5,150,706		09/29/92	Cox et al.	
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		5,186,711		02/16/93	Epstein	
		5,190,546		03/02/93	Jervis	
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		5,197,978		03/30/93	Hess	
		5,256,132		10/26/93	Snyders	
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		5,336,254		08/09/94	Brennen et al.	
		5,344,442		09/06/94	Deac	
		5,352,184		10/04/94	Goldberg et al.	
		5,356,432		10/18/94	Rutkow et al.	
		5,366,460		11/22/94	Eberbach	
		5,383,840		01/24/95	Heilman et al.	
		5,385,156		01/31/95	Oliva	
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		5,385,528		01/31/95	Wilk	

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		Office ³	Number ⁴	Kind ⁵ (if known)			
		PCT	WO 96/40356	12/19/96	EP Technologies, Inc.		
		PCT	WO 97/20505	06/12/97	Loma Linda University		
		PCT	WO 97/24101	07/10/97	Melvin		
		EP	0 791 330 A3	08/27/97	Cardiothoracic Systems		
		PCT	WO 98/03213	01/29/98	Heartport, Inc.		
		PCT	WO 98/14136	04/09/98	Acorn Medical, Inc.		
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		PCT	WO 98/29041	07/09/98	Myocor, Inc.		
		PCT	WO 99/11201	03/11/99	Endocore, Inc.		

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		Number	Kind Code ² (if known)		
<i>AD</i>		5,405,360		04/11/95	Tovey
		5,429,584		07/04/95	Chiu
		5,460,962		10/24/95	Kemp
		5,433,727		07/18/05	Sideris
		5,500,015		03/19/96	Deac
		5,507,779		04/16/96	Altman
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		5,534,024		07/09/96	Rogers et al.
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		5,558,617		09/24/96	Heilman et al.
		5,571,215		11/05/96	Sterman et al.
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		5,593,424		01/14/97	Northrup III
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		5,695,525		12/09/97	Mulhauser et al.
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		Office ³	Number ⁴		
<i>AD</i>		EP	0 919 193 A1	06/02/99	Ethicon Endo-Surgery
		PCT	WO 99/30647	06/24/99	Myocor, Inc.
		PCT	WO 99/44534	09/10/99	Melvin
		PCT	WO 99/44680	09/10/99	Abiomed, Inc.
		PCT	WO 99/53977	10/28/00	SciMed Life Systems
		PCT	WO 99/56655	11/11/99	Correstore, Inc.
		PCT	WO 00/02500	01/20/00	Acorn Cardiovascular
		PCT	WO 00/06026	02/10/00	Myocor, Inc.

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		5,713,954		02/03/98	Rosenberg et al.	
		5,727,569		03/17/98	Benetti et al.	
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		5,927,284		07/27/99	Borst et al.	
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		5,990,378		11/23/99	Ellis	

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Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				
		PCT	WO 00/06028	02/10/00	Mortier, et al.		
		PCT	WO 00/13722	03/16/00	SciMed Life Systems		
		PCT	WO 00/16700	03/30/00	Myocor, Inc.		
		PCT	WO 00/18320	04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912	05/25/00	Acorn Cardiovascular		
		PCT	WO 00/28918	05/25/00	Acorn Cardiovascular		
		PCT	WO 00/42919	07/25/00	Buckberg et al.		
		PCT	WO 00/45735	08/10/00	Acorn Cardiovascular		
		PCT	WO 00/48795	08/24/00	Richebourg		

Examiner Signature	6i/bvt	Date Considered	9/16/06
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	6	of	14	First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
		6,050,936		04/18/00	Schweich, Jr. et al.	
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		6,125,852		10/03/00	Stevens et al.	
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		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 00/62727		10/26/00	Hearten Medical		
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098		03/29/01	Acorn Cardiovascular		
		PCT	WO 01/50981		07/19/01	Acorn Cardiovascular		
		PCT	WO 01/67985		09/20/01	Paracor Surgical		
		PCT	WO 01/85061		11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667		12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830		12/20/01	Acorn Cardiovascular		

Examiner Signature	<i>Gibert</i>	Date Considered	<i>9/16/06</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	7	of	14	First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
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		6,165,120		12/26/00	Schweich, Jr. et al.
		6,165,121		12/26/00	Alferness
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		6,174,279		01/16/01	Girard
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		Office ³	Number ⁴		
		PCT	WO 01/95831	12/20/01	Acorn Cardiovascular
		PCT	WO 01/95832	12/20/01	Acorn Cardiovascular
		PCT	WO 02/13726	02/21/02	Acorn Cardiovascular
		PCT	WO 02/19917	03/14/02	Converge Medical
		PCT	WO 02/059007	08/01/02	SnapWare Corp.
		PCT	WO 03/026483	04/03/03	The Foundry, Inc.
		PCT	WO 03/026485	04/03/03	The Foundry, Inc.
		PCT	WO 03/026484	04/03/03	The Foundry, Inc.

Examiner Signature	Gilbert	Date Considered	9/16/06
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Sheet	8	of	14	First Named Inventor	Lilip Lau
				Art Unit	Unasigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

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		Number	Kind Code ² (if known)			
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		6,390,976		05/21/02	Spence et al.	
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		6,451,025		09/17/02	Jervis	
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		6,685,627		02/03/04	Jayaraman	
		6,702,732		03/09/04	Lau et al.	

Examiner Signature	Gibut	Date Considered	9/16/06
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		Filing Date	November 17, 2003
		First Named Inventor	Lilip Lau
		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359
Sheet	9	of	14

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 10 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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		Anstadt, George L., et al., <i>A New Instrument for Prolonged Mechanical Cardiac Massage</i> , <i>Abstracts of the 38th Scientific Sessions, Supplement II to Circulation</i> , Vols. 31 and 32, pp. 375-384, October 1965	
		Lev, Maurice, M.D., et al., <i>Single (Primitive) Ventricle</i> , <i>Circulation</i> , Vol. 39, pp. 577-591	
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<i>AS</i>		Vaynblat, Mikhail, M.D., et al., <i>Cardiac Binding in Experimental Heart Failure</i> , <i>Annals of Thoracic Surgery (Abstract)</i> , Supplement to <i>Circulation</i> , Vol. 92, Suppl. 1, 1995	

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(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	11	of	14	First Named Inventor	Lilip Lau
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		Filing Date	November 17, 2003		
		First Named Inventor	Lilip Lau		
		Group Art Unit	Unassigned		
		Examiner Name	Unassigned		
Sheet	12	of	14	Attorney Docket Number	PARCR 67359
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
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				Group Art Unit	Unassigned
				Examiner Name	Unassigned
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<i>ds</i>		Application for U.S. Letters Patent Serial No. 09/634,043 filed August 8, 2000	
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		Application for U.S. Letters Patent Serial No. 10/714,189 filed November 13, 2003	
		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003	
		Application for U.S. Letters Patent Serial No. 10/754,174 filed January 9, 2004	
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Examiner Name	Unassigned
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U.S. PATENT DOCUMENTS

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		Office ¹	Number ⁴	Kind ³ (if known)				
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		DE	295 17 393 U1		03/14/96	Hohmann et al.		
		EP	0 583 012 B1		07/10/96	Heilmann et al.		

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		5,150,706		09/29/92	Cox et al.
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		5,186,711		02/16/93	Epstein
		5,190,546		03/02/93	Jervis
		5,192,314		03/09/93	Daskalakis
		5,197,978		03/30/93	Hess
		5,256,132		10/26/93	Snyders
		5,279,539		01/18/94	Bohan et al.
		5,290,217		03/01/94	Campos
		5,336,254		08/09/94	Brennen et al.
		5,344,442		09/06/94	Deac
		5,352,184		10/04/94	Goldberg et al.
		5,356,432		11/18/94	Rutkow et al.
		5,366,460		12/02/94	Eberbach
		5,383,840		01/12/95	Heilman et al.
		5,385,156		01/12/95	Oliva
		5,385,229		01/31/95	Bitmann et al.
		5,385,528		01/31/95	Wilk

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Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYY	Name of Patentee or Applicant of Cited Document
		Office ²	Number ⁴		
			Kind ³ (if known)		
		PCT	WO 96/40356	12/19/96	Technologies, Inc.
		PCT	WO 97/20505	06/12/97	Linda University
		PCT	WO 97/24101	07/10/97	Med
		EP	0 791 330 A3	08/27/97	Cardiopulmonary Systems
		PCT	WO 98/03213	01/29/98	Heartex, Inc.
		PCT	WO 98/14136	04/09/98	Acorn Medical, Inc.
		PCT	WO 98/26738	06/25/98	Heartex Medical, Inc.
		PCT	WO 98/29041	07/09/98	Myocor, Inc.
		PCT	WO 99/11201	03/11/99	Endocare, Inc.

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Substitute Form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	4	of	14	First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Patent Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		5,405,360		04/11/95	Tovey
		5,429,584		07/04/95	Chiu
		5,460,962		10/24/95	Kemp
		5,433,727		07/18/05	Sideris
		5,500,015		03/19/96	Deac
		5,507,779		04/16/96	Altman
		5,509,428		04/23/96	Dunlop
		5,524,633		06/11/96	Heaven et al.
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		5,558,617		09/24/96	Heilman et al.
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		5,584,803		10/06/96	Stevens et al.
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Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Office ³	Number ⁴		
		EP	0919 193 A1	06/02/99	Ethicon Endo-Surgery
		PCT	WO 99/30647	06/24/99	Myocor, Inc.
		PCT	WO 99/44534	09/10/99	Melvin
		PCT	WO 99/44680	09/10/99	Abimed
		PCT	WO 99/53977	10/28/00	SciMed Life Systems
		PCT	WO 99/56655	11/11/99	Correstore, Inc.
		PCT	WO 00/02500	01/20/00	Acorn Cardiovascular
		PCT	WO 00/06026	02/10/00	Myocor, Inc.

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				Application Number	10/715,150
				Filing Date	November 17, 2003
				First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		5,713,954		02/03/98	Rosenberg et al.
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		5,749,839		05/12/98	Kovacs
		5,782,746		07/21/98	Wright
		5,800,334		09/01/98	Wilk
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		5,814,097		09/29/98	Sterman et al.
		5,824,028		10/20/98	Knisley
		5,836,311		11/17/98	Borst et al.
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		5,853,422		12/29/98	Huebsch et al.
		5,865,791		02/02/99	Whayne et al.
		5,904,690		05/18/99	Middleman et al.
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		5,927,284		07/27/99	Borst et al.
		5,948,019		09/07/99	Shu et al.
		5,957,977		10/28/99	Melvin
		5,951,440		11/03/99	Schwicke, Jr. et al.
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		5,979,456		11/03/99	Magovern
		5,984,857		11/16/99	Buck et al.
		5,990,378		11/23/99	Ellis

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Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Office ³	Number ⁴		
		PCT	WO 00/06028	02/10/00	Sortier, et al.
		PCT	WO 00/13722	03/16/00	BiMed Life Systems
		PCT	WO 00/16700	03/30/00	Acorn, Inc.
		PCT	WO 00/18320	04/06/00	University of Cincinnati
		PCT	WO 00/28912	05/25/00	Acorn Cardiovascular
		PCT	WO 00/28918	05/25/00	Acorn Cardiovascular
		PCT	WO 00/42919	07/25/00	Buck et al.
		PCT	WO 00/45735	08/10/00	Acorn Cardiovascular
		PCT	WO 00/48795	08/24/00	Richebo

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 6 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
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		6,071,303		06/06/00	Lauffer	
		6,076,013		06/13/00	Brennan et al.	
		6,082,214		06/20/00	Mortier et al.	
		6,082,218		06/20/00	Alferness	
		6,082,214		06/27/00	Roth	
		6,082,214		07/11/00	Alferness et al.	
		6,093,251		08/01/00	Snyders	
		6,110,201		08/29/00	Talpade	
		6,117,531		09/12/00	Huebsch et al.	
		6,117,911		09/12/00	Hendriks et al.	
		6,123,662		09/26/00	Alferness et al.	
		6,125,852		10/03/00	Stevens et al.	
		6,126,550		10/03/00	Alferness	
		6,155,968		12/05/00	Wilk	
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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number	Kind ⁵ (if known)				
		PCT	WO 00/6272		10/26/00	Hearten Medical		
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098		03/29/01	Acorn Cardiovascular		
		PCT	WO 01/50981		07/19/01	Acorn Cardiovascular		
		PCT	WO 01/67985		09/20/01	Paracor Surgical		
		PCT	WO 01/85061		11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667		12/06/01	Cardioclasp, Inc.		
		FCT	WO 01/95830		12/20/01	Acorn Cardiovascular		

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

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		6,165,119		12/26/00	Schweich, Jr. et al.	
		6,165,120		12/26/00	Schweich, Jr. et al.	
		6,165,121		12/26/00	Alfemess	
		6,165,122		12/26/00	Alfemess	
		6,165,184		12/26/00	Hendriks et al.	
		6,165,922		01/02/01	Alfemess et al.	
		6,166,779		01/16/01	Girard	
		6,172,711		01/30/01	Krueger	
		6,183,930		02/06/01	Mortier et al.	
		6,190,466		02/20/01	Melvin	
		6,193,648		02/27/01	Krueger	
		6,206,820		03/27/01	Kazi et al.	
		6,214,047		02/10/01	Melvin	
		6,221,103		02/24/01	Melvin	
		6,224,540		05/01/01	Ledermann et al.	
		6,230,714		05/15/01	Alfemess et al.	
		6,260,552		07/17/01	Mortier et al.	
		6,261,222		07/17/01	Schweich, Jr. et al.	
		6,264,602		07/27/01	Mortier et al.	
		6,287,250		09/11/01	Peng et al.	
		6,293,906		09/25/01	Vanden Hoek et al.	
		6,306,141		10/23/01	Jervis	
		6,360,749		03/26/02	Jayaraman	

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		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 01/95831		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acorn Cardiovascular		
		PCT	WO 02/13726		02/21/02	Acorn Cardiovascular		
		PCT	WO 02/19917		03/14/02	Converge Medical		
		PCT	WO 02/059007		08/01/02	SnapWare Corp.		
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		

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(use as many sheets as necessary)			Filing Date	November 17, 2003	
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			Attorney Docket Number	PARCR 67359	

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	Number	Kind Code [†] (if known)			
	7,375,608		04/23/02	Alferness	
	6,390,976		05/21/02	Spence et al.	
	6,402,679		06/11/02	Mortier et al.	
	6,402,680		06/11/02	Mortier et al.	
	6,405,420		06/18/02	McCarthy et al.	
	6,406,760		06/25/02	Melvin	
	6,406,759		07/09/02	Haindl	
	6,422,336		07/30/02	Shapland et al.	
	6,432,171		08/13/02	Wardle	
	6,451,000		09/17/02	Jervis	
	6,482,140		11/19/02	Alferness et al.	
	6,537,203		03/25/03	Alferness et al.	
	6,544,168		04/08/03	Alferness	
	6,547,821		11/11/03	Taylor et al.	
	6,564,094		05/13/03	Alferness et al.	
	6,567,699		05/20/03	Alferness et al.	
	6,569,082		05/27/03	Chin	
	6,572,533		06/03/03	Shapland et al.	
	6,575,821		06/10/03	Vanden Hoek et al.	
	6,582,355		06/24/03	Alferness et al.	
	6,587,734		07/01/03	Okuzumi	
	6,595,912		07/22/03	Lau et al.	
	6,602,184		08/05/03	Lau et al.	
	6,612,978		09/02/03	Lau et al.	
	6,612,979		09/02/03	Lau et al.	
	6,659,082		05/27/03	Chin	
	6,645,139		11/11/03	Haindl	
	6,663,558		05/03/03	Lau et al.	
	6,673,009		01/14/04	Vanden Hoek et al.	
	6,682,474		01/27/04	Lau et al.	
	6,682,475		01/27/04	Cox et al.	
	6,682,476		01/27/04	Alferness et al.	
	6,685,620		02/03/04	Gifford, III et al.	
	6,685,627		02/03/04	Jayaraman	
	6,702,732		03/09/04	Lau et al.	

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/715,150
(Use as many sheets as necessary)		Filing Date	November 17, 2003
		First Named Inventor	Lilip Lau
		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Sheet 10 of 14

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Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lsu
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	11	of	14	First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/715,150
(use as many sheets as necessary)		Filing Date	November 17, 2003
Sheet 12	of 14	First Named Inventor	Lilip Lau
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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				Application Number	10/715,150
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				First Named Inventor	Lilip Lau
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		Application for U.S. Letters Patent Serial No. 09/634,043 filed August 8, 2000	
		Application for U.S. Letters Patent Serial No. 09/952,145 filed September 10, 2001	
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		Provisional Patent Application Serial No. 60/458,991 filed March 28, 2003	
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		Application for U.S. Letters Patent Serial No. 10/503,577 filed October 23, 2003	
		Application for U.S. Letters Patent Serial No. 10/512,646 filed October 27, 2003	
		Application for U.S. Letters Patent Serial No. 10/691,387 filed October 31, 2003	
		Application for U.S. Letters Patent Serial No. 10/704,339 filed November 7, 2003	
		Application for U.S. Letters Patent Serial No. 10/705,985 filed November 12, 2003	
		Application for U.S. Letters Patent Serial No. 10/714,189 filed November 13, 2003	
		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003	
		Application for U.S. Letters Patent Serial No. 10/754,174 filed January 9, 2004	
		Application for U.S. Letters Patent Serial No. 10/754,264 filed January 9, 2004	
		Application for U.S. Letters Patent Serial No. 10/754,852 filed January 9, 2004	
		Provisional Patent Application Serial No. 60/535,888 filed January 12, 2004	

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Sheet	14	of	14

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Substitute for form 1449A/PTO				Complete if Known	
		JAN 11 2005		Application Number	10/715,150
		INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	November 17, 2003
		(use as many sheets as necessary)		First Named Inventor	Lilip Lau
Sheet	1	of	14	Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		2,826,733		03/11/58	Vineberg
		3,464,3		09/02/69	Pequignot
		3,513,836		05/26/70	Sausse
		3,587,567		06/28/71	Schiff
		3,613,672		10/19/71	Schiff
		3,966,401		06/29/76	Hancock et al.
		3,983,863		10/05/76	Janke et al.
		3,988,782		11/02/76	Dardik et al.
		4,011,947		03/15/77	Sawyer
		4,048,990		09/20/77	Goetz
		4,065,816		01/03/78	Sawyer
		4,108,161		08/22/78	Samuels et al.
		4,192,293		03/11/80	Asrican
		4,211,325		07/08/80	Wright
		4,261,342		04/14/81	Aranguren Duo
		4,306,318		12/22/81	Mano et al.
		4,372,293		02/08/83	Vijil-Rosales
		4,403,604		09/13/83	Wilkinson et al.
		4,428,375		1/31/84	Ellman
		4,512,471		02/23/85	Kaster et al.
		4,536,893		03/07/85	Parravicini
		4,545,783		10/06/85	Vaughn

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Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Office ³	Number ⁴		
		FR	2 527 435	12/2/83	Serreaus
		SU	1009457A	04/07/83	Kulik
		GB	2 115 287 A	09/07/83	Sharma
		JP	60-203250	10/14/85	Unknown
		GB	2 209 678 A	05/24/89	Evans
		DE	3831 540 A1	04/06/89	Evans
		JP	1-145066	06/07/89	Unknown
		JP	1-271829	10/30/89	Kondo
		EPO	0 370 931 A1	05/30/90	Perrin

Examiner Signature	Date	Considered
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet 2 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number ²	Kind Code ³ (if known)			
		4,628,937		12/16/86	Hess et al.	
		4,630,597		12/23/86	Kantrowitz et al.	
		4,665,906		05/19/87	Jervis	
		4,690,134		09/01/87	Snyders	
		4,697,703		10/06/87	Will	
		4,750,619		06/14/88	Cohen et al.	
		4,821,723		04/18/89	Baker, Jr. et al.	
		4,827,932		05/09/89	Ideker et al.	
		4,834,707		05/30/89	Evans	
		4,838,288		06/13/89	Wright et al.	
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		4,863,016		09/05/89	Fong et al.	
		4,878,890		09/27/89	Bilweis	
		4,936,857		06/26/90	Kulik	
		4,957,477		09/18/90	Lundbäck	
		4,960,424		10/02/90	Grooters	
		4,973,300		11/27/90	Wright	
		4,976,730		12/11/90	Kwan-Gett	
		5,031,762		07/16/91	Heacox	
		5,057,117		10/15/91	Atweh	
		5,067,957		11/26/91	Jervis	
		5,087,243		02/11/92	Avitall	

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		Office ⁵	Number ⁶	Kind ⁷ (if known)				
		FR	2 645 739		10/19/90	Mentzer et al.		
		PCT	WO 91/19465		12/26/91	Frater		
		SU	1734767 A1		05/23/92	Popov et al.		
		EP	0 280 564 B1		06/16/93	Intermedics, Inc.		
		DE	38 31 540 C2		06/24/93	Evans		
		PCT	WO 95/06447		03/09/95	W.L. Gore & Associates		
		PCT	WO 96/04852		02/22/96	Northrup		
		DE	295 17 393 U1		03/14/96	Hohmann et al.		
		EP	0 583 012 B1		07/10/96	Heilman et al.		

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Substitute for Form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/715,150
(use as many sheets as necessary)		Filing Date	November 17, 2003
Sheet	3	First Named Inventor	Lilip Lau
of 14		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359

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		Number	Kind Code ² (if known)			
		5,098,369		03/24/92	Heilman et al.	
		5,106,386		04/21/92	Isner et al.	
		5,119,804		06/09/92	Anstadt	
		5,131,905		07/21/92	Grooters	
		5,150,706		09/29/92	Cox et al.	
		5,169,381		12/08/92	Snyders	
		5,186,711		02/16/93	Epstein	
		5,190,546		03/02/93	Jervis	
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		5,197,978		03/30/93	Hess	
		5,256,132		10/06/93	Snyders	
		5,279,539		01/18/94	Bohan et al.	
		5,290,217		03/01/94	Campos	
		5,336,254		08/09/94	Brennen et al.	
		5,344,442		09/06/94	Deac	
		5,352,184		10/04/94	Goldberg et al.	
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		5,385,528		01/31/95	Wu	

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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 96/40356		12/19/96	EP Technologies, Inc.		
		PCT	WO 97/20505		06/12/97	Loma Linda University		
		PCT	WO 97/24101		07/10/97	Melvin		
		EP	0 791 330 A3		08/27/97	Cardiothoracic Systems		
		PCT	WO 98/03213		01/29/98	Heartport, Inc.		
		PCT	WO 98/14136		04/09/98	Acorn Medical, Inc.		
		PCT	WO 98/26738		06/25/98	Hearten Medical, Inc.		
		PCT	WO 98/29041		07/09/98	Myocor, Inc.		
		PCT	WO 99/11201		03/11/99	Endocore, Inc.		

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(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet 4		of 14	First Named Inventor	Lilip Lau	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
			Attorney Docket Number	PARCR 67359	

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		Number	Kind Code ² (if known)			
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		5,524,633		06/11/96	Heaven et al.	
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		Office ³	Number ⁴	Kind ⁵ (if known)				
		EP	0 919 193 A1		06/02/99	Ethicon Endo-Surgery		
		PCT	WO 99/30647		06/24/99	Myocor, Inc.		
		PCT	WO 99/44534		09/10/99	Melvin		
		PCT	WO 99/44680		09/10/99	Abimed, Inc.		
		PCT	WO 99/53977		10/28/00	SciMed Life Systems		
		PCT	WO 99/56655		11/11/99	Correstore, Inc.		
		PCT	WO 00/02500		01/20/00	Acorn Cardiovascular		
		PCT	WO 00/06026		02/10/00	Myocor, Inc.		

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Sheet	5	of	14	Filing Date	November 17, 2003
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		5,727,569		03/17/98	Benetti et al.
		5,749,839		05/12/98	Kovacs
		5,782,746		07/21/98	Wright
		5,800,334		09/01/98	Wilk
		5,800,528		09/01/98	Lederman et al.
		5,814,097		09/29/98	Sterman et al.
		5,824,028		10/20/98	Knisley
		5,836,311		11/17/98	Borst et al.
		5,848,962		12/15/98	Feindt et al.
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		5,904,690		05/18/99	Middleman et al.
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		5,948,019		09/07/99	Shu et al.
		5,957,977		09/28/99	Melvin
		5,961,440		10/05/99	Schweich, Jr. et al.
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		5,990,378		11/23/99	Ellis

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		PCT	WO 00/06028	02/10/00	Meijer, et al.
		PCT	WO 00/13722	03/16/00	SciMed Life Systems
		PCT	WO 00/16700	03/30/00	Myocor Inc.
		PCT	WO 00/18320	04/06/00	Univ. of Cincinnati
		PCT	WO 00/28912	05/25/00	Acorn Cardiovascular
		PCT	WO 00/28918	05/25/00	Acorn Cardiovascular
		PCT	WO 00/42919	07/25/00	Buckberg et al.
		PCT	WO 00/45735	08/10/00	Acorn Cardiovascular
		PCT	WO 00/48795	08/24/00	Richebourg

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Sheet 6 of 14					

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		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
		6,050,936		04/18/00	Schweich, Jr. et al.	
		6,059,715		05/09/00	Schweich, Jr. et al.	
		6,071,303		06/06/00	Laufer	
		6,076,013		06/13/00	Brennan et al.	
		6,077,214		06/20/00	Mortier et al.	
		6,077,218		06/20/00	Alferness	
		6,079,414		06/27/00	Roth	
		6,085,754		07/11/00	Alferness et al.	
		6,095,968		08/01/00	Snyders	
		6,110,100		09/29/00	Talpade	
		6,117,159		09/30/00	Huebsch et al.	
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Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴	Kind ⁵ (if known)			
		PCT	WO 00/62727	10/26/00	Heartent Medical		
		PCT	WO 00/74769	12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437	03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098	03/29/01	Acorn Cardiovascular		
		PCT	WO 01/50981	07/19/01	Acorn Cardiovascular		
		PCT	WO 01/67985	09/20/01	Paracor Surgical		
		PCT	WO 01/85061	11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667	12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830	12/20/01	Acorn Cardiovascular		

Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 7 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 01/95831		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acorn Cardiovascular		
		PCT	WO 02/13726		02/21/02	Acorn Cardiovascular		
		PCT	WO 02/19917		03/14/02	Converge Medical		
		PCT	WO 02/059007		08/01/02	SnapWare Co.		
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet 8 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Substitute for form 1449 PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/715,150
(use as many sheets as necessary)		Filing Date	November 17, 2003
		First Named Inventor	Lilip Lau
		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359
Sheet	9	of	14

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				

Examiner Signature		Date Considered	
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ALL O Standard 51, 16 if possible. Applicant is to place a check mark here if English language Translation is attached.
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Substitute for form 1449B/PT			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	10/715,150	
Sheet	10	of	Filing Date	November 17, 2003	
			First Named Inventor	Lilip Lau	
			Group Art Unit	Unassigned	
			Examiner Name	Unassigned	
			Attorney Docket Number	PARCR 67359	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet 11 of 14				First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	10/715,150
Sheet	12	Date	Filing Date	November 17, 2003
			First Named Inventor	Lilip Lau
			Group Art Unit	Unassigned
			Examiner Name	Unassigned
			Attorney Docket Number	PARCR 67359
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		Power, J.M., et al., <i>Passive Ventricular Constraint Amends the Course of Heart Failure: A Study in an Ovine Model of Dilated Cardiomyopathy</i> , <i>Cardiovascular Research</i> , Vol. 44, pp. 549-555, 1999		
		Frazier, O.H., M.D., et al., <i>Left Ventricular Assist System as a Bridge to Myocardial Recovery</i> , <i>Annals of Thoracic Surgery</i> , Vol. 68, pp. 734-741, 1999		
		Melvin, David B., <i>Ventricular Radius Reduction Without Resection: A Computational Analysis</i> , <i>ASAIO Journal</i> , pp. 160-165, 1999		
		ABSTRACTS – Heart Failure , JACC, January 1999		
		Raman, Jai S., FRACS, et al., <i>Ventricular Containment as an Adjunctive Procedure in Ischemic Cardiomyopathy: Early Results</i> , <i>Annals of Thoracic Surgery</i> , Vol. 70, pp. 1124-1126, January 2000		
		McCarthy, Patrick M., et al., <i>Device Based Left Ventricular Shape Change Immediately Reduces Left Ventricular Volume and Increases Ejection Fraction in a Rats Induced Cardiomyopathy Model in Dogs</i> , JACC, Feb. 2000		
		Chaudry, Pervaiz A., M.D., et al., <i>Passive Epicardial Containment Prevents Ventricular Remodeling in Heart Failure</i> , <i>Annals of Thoracic Surgeons</i> , Vol. 70, pp. 1275-1280, 2000		
		Acorn Cardiovascular, Inc., <i>CSD Specifications Acorn Cardiac Support Device</i> , 2000		
		Heart "jacket" could help stop heart failure progression, <i>Clinicia</i> , No. 916, July 2000		
		Acorn Cardiovascular, Inc., <i>CorCap™ Cardiac Support Device Pamphlet</i> , June 2001		
		<i>Medtronic's InSync Cardiac Resynchronization Therapy Device Approved by FDA</i> , (Press Release) August 28, 2001		
		Acorn Cardiovascular, Inc., <i>Acorn Highlights: ESC</i> , September 2001		
		Oz, Mehmet C., M.D., <i>Passive Ventricular Constraint for the Treatment of Congestive Heart Failure</i> , <i>Annals of Thoracic Surgery</i> , Vol. 71, pp. 5185-5187, 2001		
		Abstract Supplement, <i>European Heart Journal</i> , Vol. 22, September 2001		
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		Mann, Douglas L., M.D., <i>Basic Mechanisms of Remodeling and Review of Remodeling</i> , presented at 5 th Annual Scientific Meeting of the Heart Failure Society of America, September 2002		
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		Chachques, Juan C., M.D., <i>Study of Muscular and Ventricular Function in Dynamic Cardiomyoplasty: A Ten-Year Follow-Up</i> , <i>The Journal of Heart and Lung Transplantation</i> , Vol. 16, No. 8, pp. 854-868, August 1997		
		Dullum, Mercedes K.C., M.D., et al., <i>Less Invasive Surgical Management of Heart Failure by Cardiac Support Device Implantation on the Beating Heart</i> , <i>The Heart Surgery Forum</i> , #2001-01-08, pp. 361-363, January 4-7, 2001		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/715,150
(use as many sheets as necessary)		Filing Date	November 17, 2003
Sheet	13	First Named Inventor	Lilip Lau
of 14		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Application for U.S. Letters Patent Serial No. 09/634,043 filed August 8, 2000	
		Application for U.S. Letters Patent Serial No. 09/952,145 filed September 10, 2001	
		Application for U.S. Letters Patent Serial No. 10/242,016 filed September 10, 2002	
		Application for U.S. Letters Patent Serial No. 10/287,723 filed October 31, 2002	
		Application for U.S. Letters Patent Serial No. 10/314,696 filed December 9, 2002	
		Application for U.S. Letters Patent Serial No. 10/338,934 filed January 7, 2003	
		Provisional Patent Application Serial No. 60/458,991 filed March 28, 2003	
		Provisional Patent Application Serial No. 60/486,062 filed July 10, 2003	
		Application for U.S. Letters Patent Serial No. 10/693,577 filed October 23, 2003	
		Application for U.S. Letters Patent Serial No. 10/694,646 filed October 27, 2003	
		Application for U.S. Letters Patent Serial No. 10/698,237 filed October 31, 2003	
		Application for U.S. Letters Patent Serial No. 10/704,376 filed November 7, 2003	
		Application for U.S. Letters Patent Serial No. 10/735,989 filed November 12, 2003	
		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003	
		Application for U.S. Letters Patent Serial No. 10/754,175 filed January 9, 2004	
		Application for U.S. Letters Patent Serial No. 10/754,264 filed January 9, 2004	
		Application for U.S. Letters Patent Serial No. 10/754,852 filed January 9, 2004	
		Provisional Patent Application Serial No. 60/535,888 filed January 12, 2004	

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(use as many sheets as necessary)		Filing Date	November 17, 2003
Sheet	14	First Named Inventor	Lilip Lau
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359

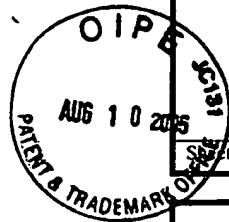
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				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359
Sheet	1	of	2		



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of

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**Examiner
Signature**

Gilbert

Date
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/715,150	
(use as many sheets as necessary)			Filing Date	November 17, 2003	
Sheet	2	of	First Named Inventor	Lilip Lau	
			Group Art Unit	3736	
			Examiner Name	Unassigned	
			Attorney Docket Number	PARCR 67359	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
XSL		Zhou, Xiaohong, et al., <i>Epicardial Mapping of Ventricular Defibrillation With Monophasic and Biphasic Shocks in Dogs</i> , <u>Circulation Research</u> , Vol. 72, No. 1, pp. 145-160, January 1993			
		Shorofsky, Stephen R., et al., <i>Comparison of Step-Down and Binary Search Algorithms for Determination of Defibrillation Threshold in Humans</i> , <u>PACE</u> , Vol. 27, pp. 218-220, February 2004			
		Gold, Michael R., M.D., et al., <i>Comparison of Single- and Dual-Coil Active Pectoral Defibrillation Lead Systems</i> , <u>Journal of the American College of Cardiology</u> , Vol. 31, No. 6, pp. 1391-1394, May 1998			
		Rinaldi, C. Aldo, <i>A Randomized Prospective Study of Single Coil Versus Dual Coil Defibrillation in Patients With Ventricular Arrhythmias Undergoing Implantable Cardioverter Defibrillator Therapy</i> , <u>PACE</u> , Vol. 26, pp. 1684-1690, August 2003			
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		Sandstedt, Bengt, et al., <i>Bidirectional Defibrillation Using Implantable Defibrillators: A Prospective Randomized Comparison Between Pectoral and Abdominal Active Generators</i> , <u>PACE</u> , Vol. 24, Part I, pp. 1343-1353, September 2001			
XSL		Schulte, B., et al., <i>Dual-Coil vs. Single-Coil Active Pectoral Implantable Defibrillator Lead Systems: Defibrillation Lead Requirements and Probability of Defibrillation Success at Multiples of the Defibrillation Energy Requirements</i> , <u>Europace</u> , Vol. 3, pp. 177-180, July 2001			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
(use as many sheets as necessary)					
Sheet	1	of	2	Application Number	10/715,150
				Filing Date	November 17, 2003
				First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

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Examiner Signature		Date Considered	
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(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	2	of	2	First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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John S. Nagy, Reg. No. 3,664



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 0/715,150
Applicants : Dip Lau, Joshua Wallin
Filed : November 17, 2003
Art Unit : Unsigned
Examiner : Unsigned
Title : CARD AC HARNESS DELIVERY DEVICE AND METHOD
Docket No.: : PARCR 67359
Customer No. : 24201

Commissioner for Patents
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97.

The cited documents were brought to the Applicants' attention in a Search Report for an international application pending under the Patent Cooperation Treaty which asserts priority to U.S. Serial No. 60/427,079 (now expired), which Search Report was communicated on September 15, 2004, not more than three months ago.

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Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:


John S. Nagy
Registration No. 30,664

JSON:jeb
Enclosures

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6060 Center Drive, Tenth Floor
Los Angeles, CA 90045
Telephone: (310) 824-5555
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		First Named Inventor	Lilip Lau
		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359
Sheet	1	of	1

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Date _____

Date _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with Rule 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP § 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 1.11 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 8, 2005.



John S. Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/715,150
Applicants : Lilip Lau, Joshua Wallin
Filed : November 17, 2003
Art Unit : 3736
Examiner : Unassigned
Title : CARDIAC HARNESS DELIVERY DEVICE AND METHOD
Docket No.: : PABCR 67359
Customer No. : 242

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08a have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the cited articles is enclosed.

Pursuant to 37 C.F.R. § 1.97(e)(2), no item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, no item of

information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement, therefore no fee is due. However, if a fee is in fact due, please charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

The references cited on the Form PTO/SB/O8a may not constitute prior art to the subject matter of the invention claimed in the pending application. The documents therefore are disclosed here in an abundance of caution, in light of 37 C.F.R. § 1.56.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:


John S. Nagy
Registration No. 30,664

JSN:jeb
Enclosures

Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, CA 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696
Customer No. 24201
97504.1

Under the Paperwork Reduction

Substitute for form 449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small>		Application Number	10/715,150
		Filing Date	November 17, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359
Sheet	1	of	2

U.S. PATENT DOCUMENTS

FOREIGN PARENT DOCUMENTS

Examiner Signature		Date Considered	
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68819.1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 149B/PTO				Complete if Known	
				Application Number	10/715,150
				Filing Date	November 17, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359
Sheet	2	of	2		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
		<i>Zhou, Xiaohong, et al., Epicardial Mapping of Ventricular Defibrillation With Monophasic and Biphasic Shocks in Dogs, Circulation Research, Vol. 72, No. 1, pp. 145-160, January 1993</i>				
		<i>Shorofsky, Stephen R., et al., Comparison of Step-Down and Binary Search Algorithms for Determination of Defibrillation Threshold in Humans, PACE, Vol. 27, pp. 218-220, February 2004</i>				
		<i>Gold, Michael R., M.D., et al., Comparison of Single- and Dual-Coil Active Pectoral Defibrillation Lead Systems, Journal of the American College of Cardiology, Vol. 31, No. 6, pp. 1391-1394, May 1998</i>				
		<i>Rinaldi, C. Aldo, A Randomized Prospective Study of Single Coil Versus Dual Coil Defibrillation in Patients With Ventricular Arrhythmias Undergoing Implantable Cardioverter Defibrillator Therapy, PACE, Vol. 26, pp. 1684-1690, August 2003</i>				
		<i>Schwartzman, David, M.D., et al., Serial Defibrillation Lead Impedance in Patients with Epicardial and Nonthoracotomy Lead Systems, Journal of Cardiovascular Electrophysiology, Vol. 7, No. 8, pp. 697-703, August 1996</i>				
		<i>Sandstedt, Bengt, et al., Bidirectional Defibrillation Using Implantable Defibrillators: A Prospective Randomized Comparison Between Pectoral and Abdominal Active Generators, PACE, Vol. 24, Part I, pp. 1343-1353, September 2001</i>				
		<i>Schulte, B., et al., Dual-Coil vs. Single-Coil Active Pectoral Implantable Defibrillator Lead Systems: Defibrillation Lead Requirements and Probability of Defibrillation Success at Multiples of the Defibrillation Energy Requirements, Europace, Vol. 3, pp. 177-180, July 2001</i>				

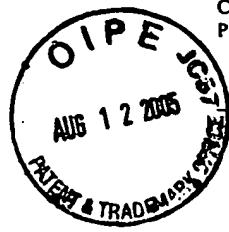
68819.1

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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John S. Nagy, Reg. No. 30,667

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/715,150
Applicants : Lilip Laiu, Joshua Wallin
Filed : November 17, 2003
Art Unit : Unassigned
Examiner : Unassigned
Title : CARDIAC HARNESS DELIVERY DEVICE AND METHOD

Docket No.: PARCR 67339
Customer No. : 24201

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the listed foreign documents and articles is enclosed.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the

Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:


John S. Nagy
Registration No. 30,664

JSN:jeb

Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, CA 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696
Customer No. 24201
49040.1

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Substitute Form 1449A/PTO		AUG 12 2005 J.C.		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	1	of	14	First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		2,826,193		03/11/58	Vineberg
		3,464,322		09/02/69	Pequignot
		3,513,836		05/26/70	Sausse
		3,587,567		06/28/71	Schiff
		3,613,672		10/19/71	Schiff
		3,966,401		06/29/76	Hancock et al.
		3,983,863		10/05/76	Janke et al.
		3,988,782		11/02/76	Dardik et al.
		4,011,947		03/15/77	Sawyer
		4,048,990		09/20/77	Goetz
		4,065,816		01/03/78	Sawyer
		4,108,161		08/22/78	Samuels et al.
		4,192,293		03/11/80	Asrican
		4,211,325		07/08/80	Wright
		4,261,342		04/14/81	Aranguren Duo
		4,306,318		12/22/81	Mano et al.
		4,372,293		02/08/83	Vijil-Rosales
		4,403,604		09/13/83	Wilkinson et al.
		4,428,375		01/31/84	Ellman
		4,512,471		04/23/85	Kaster et al.
		4,536,893		08/17/85	Parravicini
		4,545,783		10/06/85	Vaughn

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Office ³	Number ⁴		
		FR	2 527 435	12/2/83	Serreaus
		SU	1009457A	04/07/83	Kulik
		GB	2 115 287 A	09/07/83	Sharma
		JP	60-203250	10/14/85	Unknown
		GB	2 209 678 A	05/24/89	Evans
		DE	3831 540 A1	04/06/89	Evans
		JP	1-145066	06/07/89	Unknown
		JP	1-271829	10/30/89	Koga
		EPO	0 370 931 A1	05/30/90	Perrot

Examiner Signature	Date Considered
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48521.1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 601. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Complete if Known			
				Application Number	10/715,150		
				Filing Date	November 17, 2003		
				First Named Inventor	Lilip Lau		
				Art Unit	Unassigned		
				Examiner Name	Unassigned		
				Attorney Docket Number	PARCR 67359		
U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (if known)				
		4,628,937		12/16/86	Hess et al.		
		4,730,597		12/23/86	Kantrowitz et al.		
		4,695,906		05/19/87	Jervis		
		4,691,134		09/01/87	Snyders		
		4,697,003		10/06/87	Will		
		4,750,639		06/14/88	Cohen et al.		
		4,821,721		04/18/89	Baker, Jr. et al.		
		4,827,932		05/09/89	Ideker et al.		
		4,834,707		05/30/89	Evans		
		4,838,288		06/13/89	Wright et al.		
		4,840,626		06/20/89	Linsky et al.		
		4,863,016		09/05/89	Fong et al.		
		4,878,890		11/07/89	Bilweis		
		4,936,857		06/26/90	Kulik		
		4,957,477		09/18/90	Lundbäck		
		4,960,424		10/02/90	Groeters		
		4,973,300		11/27/90	Wright		
		4,976,730		12/11/90	Kwan-Gett		
		5,031,762		07/16/91	Heacox		
		5,057,117		10/15/91	Atweh		
		5,067,957		11/26/91	Jervis		
		5,087,243		02/11/92	Avitall		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴				
		FR	2 645 739	10/19/90	Mentzer et al.		
		PCT	WO 91/19465	12/26/91	Frater		
		SU	1734767 A1	05/27/92	Popov et al.		
		EP	0 280 564 B1	06/16/93	Intermedics, Inc.		
		DE	38 31 540 C2	06/24/93	Evans		
		PCT	WO 95/06447	03/09/95	W.L. Gore & Associates		
		PCT	WO 96/04852	02/22/96	Northrup		
		DE	295 17 393 U1	03/14/96	Hohmann et al.		
		EP	0 583 012 B1	07/10/96	Heilmann et al.		

Examiner Signature		Date Considered	
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48521.1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	3	of	14	First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Kind Code ² (if known)					
		5,098,369	03/24/92	Heilman et al.	
		5,06,386	04/21/92	Isner et al.	
		5,09,804	06/09/92	Anstadt	
		5,10,905	07/21/92	Grooters	
		5,10,706	09/29/92	Cox et al.	
		5,10,381	12/08/92	Snyders	
		5,186,411	02/16/93	Epstein	
		5,190,436	03/02/93	Jervis	
		5,192,344	03/09/93	Daskalakis	
		5,197,931	03/30/93	Hess	
		5,256,133	10/26/93	Snyders	
		5,279,539	01/18/94	Bohan et al.	
		5,290,217	03/01/94	Campos	
		5,336,254	08/09/94	Brennen et al.	
		5,344,442	09/06/94	Deac	
		5,352,184	10/04/94	Goldberg et al.	
		5,356,432	10/18/94	Rutkow et al.	
		5,366,460	11/22/94	Eberbach	
		5,383,840	01/24/95	Heilman et al.	
		5,385,156	01/31/95	Oliva	
		5,385,229	01/31/95	Bittmann et al.	
		5,385,528	01/31/95	Wilk	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴				
		PCT	WO 96/40356	12/19/96	EP Technologies, Inc.		
		PCT	WO 97/20505	06/12/97	Loma Linda University		
		PCT	WO 97/24101	01/10/97	Melvin		
		EP	0 791 330 A3	08/17/97	Cardiothoracic Systems		
		PCT	WO 98/03213	01/25/98	Heartport, Inc.		
		PCT	WO 98/14136	04/09/98	Acorn Medical, Inc.		
		PCT	WO 98/26738	06/25/98	Hearten Medical, Inc.		
		PCT	WO 98/29041	07/09/98	Myocor, Inc.		
		PCT	WO 99/11201	03/11/99	Endocore, Inc.		

Examiner Signature	Date Considered
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48521.1

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Substitute for form 1449A/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	4	of	14	First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	S,535,360			04/11/95	Tovey	
	S,539,584			07/04/95	Chiu	
	S,540,962			10/24/95	Kemp	
	S,541,727			07/18/05	Sideris	
	S,541,015			03/19/96	Deac	
	S,541,779			04/16/96	Altman	
	S,541,428			04/23/96	Dunlop	
	S,541,333			06/11/96	Heaven et al.	
	S,533,618			07/09/96	Wilk	
	S,534,344			07/09/96	Rogers et al.	
	S,545,			08/13/96	Hess et al.	
	S,558,			09/24/96	Heilman et al.	
	S,571,2			11/05/96	Sternan et al.	
	S,582,6			12/10/96	Bolduc et al.	
	S,584,80			12/17/96	Stevens et al.	
	S,593,42			01/14/97	Northrup III	
	S,593,441			01/14/97	Lichtenstein et al.	
	S,597,378			01/28/97	Jervis	
	S,603,337			02/18/97	Jarvik	
	S,607,477			03/04/97	Schindler et al.	
	S,647,372			07/15/97	Tovey et al.	
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	S,695,525			12/09/97	Mulhauser et al.	
	S,702,343			12/30/97	Alferness	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴			
	EP	0 919 193 A1		06/02/99	Ethicon Endo-Surgery	
	PCT	WO 99/30647		06/24/99	Myocor, Inc.	
	PCT	WO 99/44534		09/10/99	Melvin	
	PCT	WO 99/44680		09/10/99	Abimed, Inc.	
	PCT	WO 99/53977		10/28/00	SciMed Life Systems	
	PCT	WO 99/56655		11/11/99	CorRestore, Inc.	
	PCT	WO 00/02500		12/00/00	Acorn Cardiovascular	
	PCT	WO 00/06026		02/00/00	Myocor, Inc.	

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/715,150	
(use as many sheets as necessary)			Filing Date	November 17, 2003	
Sheet		of 14	First Named Inventor	Lilip Lau	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
			Attorney Docket Number	PARCR 67359	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,713,954		02/03/98	Rosenberg et al.	
		5,727,569		03/17/98	Benetti et al.	
		5,749,839		05/12/98	Kovacs	
		5,782,746		07/21/98	Wright	
		5,800,334		09/01/98	Wilk	
		5,800,528		09/01/98	Lederman et al.	
		5,814,097		09/29/98	Sterman et al.	
		5,824,028		10/20/98	Knistey	
		5,836,311		11/17/98	Borst et al.	
		5,838,962		12/15/98	Feindt et al.	
		5,850,005		12/15/98	Garrison et al.	
		5,853,122		12/29/98	Huebsch et al.	
		5,865,311		02/02/99	Whayne et al.	
		5,904,6		05/18/99	Middleman et al.	
		5,910,12		06/08/99	Rubin	
		5,927,284		07/27/99	Borst et al.	
		5,948,019		09/07/99	Shu et al.	
		5,957,977		09/28/99	Melvin	
		5,961,440		10/05/99	Schweich, Jr. et al.	
		5,976,069		11/02/99	Navia et al.	
		5,979,456		11/09/99	Magovern	
		5,984,857		11/16/99	Buck et al.	
		5,990,378		11/23/99	Ellis	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴	Kind ⁵ (if known)			
		PCT	WO 00/06028	02/10/00	Mortier, et al.		
		PCT	WO 00/13722	03/16/00	SciMed Life Systems		
		PCT	WO 00/16700	03/30/00	Myocor, Inc.		
		PCT	WO 00/18320	04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912	05/25/00	Acorn Cardiovascular		
		PCT	WO 00/28918	05/25/00	Acorn Cardiovascular		
		PCT	WO 00/42919	07/25/00	Buckberg et al.		
		PCT	WO 00/45735	08/10/00	Acorn Cardiovascular		
		PCT	WO 00/48795	09/24/00	Richebourg		

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 6 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,007,486		12/28/99	Hunt et al.	
		6,055,378		01/18/00	Borst et al.	
		6,050,096		02/15/00	Buckberg	
		6,050,497		04/04/00	Schweich, Jr. et al.	
		6,050,336		04/18/00	Schweich, Jr. et al.	
		6,050,335		05/09/00	Schweich, Jr. et al.	
		6,077,221		06/06/00	Laufer	
		6,076,221		06/13/00	Brennan et al.	
		6,077,220		06/20/00	Mortier et al.	
		6,077,222		06/20/00	Alferness	
		6,079,414		06/27/00	Roth	
		6,085,754		07/11/00	Alferness et al.	
		6,095,968		08/01/00	Snyders	
		6,110,100		08/29/00	Talpade	
		6,117,159		09/12/00	Huebsch et al.	
		6,117,979		09/12/00	Hendriks et al.	
		6,123,662		09/26/00	Alferness et al.	
		6,125,852		10/03/00	Stevens et al.	
		6,126,590		10/03/00	Alferness	
		6,155,968		12/05/00	Wilk	
		6,155,972		12/05/00	Nauertz et al.	
		6,162,168		12/19/00	Schweich, Jr. et al.	

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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴	Kind (if known)				
		PCT	WO 00/62727		02/26/00	Hearten Medical		
		PCT	WO 00/74769		03/04/00	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/01/01	Cardiothoracic Systems		
		PCT	WO 01/21098		03/21/01	Acorn Cardiovascular		
		PCT	WO 01/50981		07/19/01	Acorn Cardiovascular		
		PCT	WO 01/67985		09/20/01	Paracor Surgical		
		PCT	WO 01/85061		11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667		12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830		12/20/01	Acorn Cardiovascular		

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 7 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,165,119		12/26/00	Schweich, Jr. et al.	
		6,165,120		12/26/00	Schweich, Jr. et al.	
		6,165,121		12/26/00	Alferness	
		6,165,122		12/26/00	Alferness	
		6,165,184		12/26/00	Hendriks et al.	
		6,169,122		01/02/01	Alferness et al.	
		6,174,179		01/16/01	Girard	
		6,179,171		01/30/01	Krueger	
		6,183,4		02/06/01	Mortier et al.	
		6,190,40		02/20/01	Melvin	
		6,193,648		02/27/01	Krueger	
		6,206,820		03/27/01	Kazi et al.	
		6,214,047		02/10/01	Melvin	
		6,221,103		02/24/01	Melvin	
		6,224,540		05/01/01	Ledermann et al.	
		6,230,714		05/15/01	Alferness et al.	
		6,260,552		07/17/01	Mortier et al.	
		6,261,222		07/17/01	Schweich, Jr. et al.	
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		6,287,250		09/11/01	Peng et al.	
		6,293,906		09/25/01	Vanden Hoek et al.	
		6,306,141		10/23/01	Jervis	
		6,360,749		03/26/02	Jayaraman	

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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		PCT	WO 01/95831		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acorn Cardiovascular		
		PCT	WO 02/13726		02/21/02	Acorn Cardiovascular		
		PCT	WO 02/19917		03/14/02	Converge Medical		
		PCT	WO 02/059007		04/01/02	SnapWare Corp.		
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		

Examiner Signature		Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 8 of 14

Complete if Known

Application Number	10/715,150
Filing Date	November 17, 2003
First Named Inventor	Lilip Lau
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	PARCR 67359

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		7,375,608		04/23/02	Alferness	
		6,390,976		05/21/02	Spence et al.	
		6,402,679		06/11/02	Mortier et al.	
		6,402,680		06/11/02	Mortier et al.	
		6,406,420		06/18/02	McCarthy et al.	
		6,409,760		06/25/02	Melvin	
		6,416,459		07/09/02	Haindl	
		6,425,856		07/30/02	Shapland et al.	
		6,432,039		08/13/02	Wardle	
		6,451,025		09/17/02	Jervis	
		6,482,146		11/19/02	Alferness et al.	
		6,537,203		03/25/03	Alferness et al.	
		6,544,168		04/08/03	Alferness	
		6,547,821		11/11/03	Taylor et al.	
		6,554,094		05/13/03	Alferness et al.	
		6,557,699		05/20/03	Alferness et al.	
		6,559,082		05/27/03	Chin	
		6,559,533		06/03/03	Shapland et al.	
		6,570,921		06/10/03	Vanden Hoek et al.	
		6,581,955		06/24/03	Alferness et al.	
		6,587,334		07/01/03	Okuzumi	
		6,595,312		07/22/03	Lau et al.	
		6,602,187		08/05/03	Lau et al.	
		6,612,979		09/02/03	Lau et al.	
		6,612,979		09/02/03	Lau et al.	
		6,659,082		05/27/03	Chin	
		6,645,139		11/11/03	Haindl	
		6,663,558		12/16/03	Lau et al.	
		6,673,009		01/06/04	Vanden Hoek et al.	
		6,682,474		01/27/04	Lau et al.	
		6,682,475		01/27/04	Cox et al.	
		6,682,476		01/27/04	Alferness et al.	
		6,685,620		02/03/04	Gifford, III et al.	
		6,685,627		02/03/04	Jayaraman	
		6,702,732		03/09/04	Lau et al.	

Examiner Signature		Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/715,150
(use as many sheets as necessary)		Filing Date	November 17, 2003
Sheet	9	First Named Inventor	Lilip Lau
of 14		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359

FOREIGN PATENT DOCUMENTS						
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WFO Standard S1. 16 if possible. * Applicant is to place a check mark here if English language Translation is attached.
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/715,150
(use as many sheets as necessary)				Filing Date	November 17, 2003
Sheet	10	of	14	First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 67359

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Indicate name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		Bencini, Giano, M.D., <i>The "Pneumomassage" of the Heart</i> , <i>Surgery</i> , Vol. 39, No. 3, March 1956			T ²
		Anstadt, Otto, L., et al., <i>A New Instrument for Prolonged Mechanical Cardiac Massage</i> , <i>Abstracts of the 38th Scientific Session, Supplement II to Circulation</i> , Vols. 31 and 32, pp. 375-384, October 1965			
		Lev, Maurice, et al., <i>Single (Primitive) Ventricle</i> , <i>Circulation</i> , Vol. 39, pp. 577-591			
		Paling, D.F., <i>Wound Trapping Technology</i> , 1970			
		Edie, Richard N., et al., <i>Surgical Repair of Single Ventricle</i> , <i>The Journal of Thoracic and Cardiovascular Surgery</i> , Vol. 66, No. 3, pp. 350-360, September 1972			
		McGoon, Dwight C., et al., <i>Correction of the Univentricular Heart Having Two Atrioventricular Valves</i> , <i>The Journal of Thoracic and Cardiovascular Surgery</i> , Vol. 74, No. 2, pp. 218-226, August 1977			
		Doty, Donald B., et al., <i>Division of the Univentricular Heart: Transatrial Approach</i> , <i>The Journal of Thoracic and Cardiovascular Surgery</i> , Vol. 78, No. 3, pp. 424-430, September 1979			
		Schetky, L. McDonald, <i>Shape Memory Alloys</i> , <i>Scientific American</i> , Vol. 241, No. 5, pp. 74-82, November 1979			
		Melton, K.N., et al., <i>Alloys Having a Shape Memory Effect</i> , <i>Mechanical Engineering</i> , pp. 42-43, March 1980			
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Sheet	11	of	14	Filing Date	November 17, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
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		Application for U.S. Letters Patent Serial No. 09/634,043 filed August 8, 2000		
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		Provisional Patent Application Serial No. 60/458,991 filed March 28, 2003		
		Provisional Patent Application Serial No. 60/486,062 filed July 10, 2003		
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		Application for U.S. Letters Patent Serial No. 10/704,376 filed November 7, 2003		
		Application for U.S. Letters Patent Serial No. 10/705,989 filed November 12, 2003		
		Application for U.S. Letters Patent Serial No. 10/734,189 filed November 13, 2003		
		Application for U.S. Letters Patent Serial No. 10/734,150 filed November 17, 2003		
		Application for U.S. Letters Patent Serial No. 10/754,544 filed January 9, 2004		
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(use as many sheets as necessary)		Filing Date	November 17, 2003
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		Art Unit	3736
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 67359

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Gibson

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